

JAN 21 2000

Applicant's Guide - Volume II - National Center - US

Annex US.II, page 1

FORM PTO-1390  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

234/231

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/463542

INTERNATIONAL APPLICATION NO.  
PCT/US98/15411INTERNATIONAL FILING DATE  
July 24, 1998PRIORITY DATE CLAIMED  
July 25, 1997

**TITLE OF INVENTION** HUMAN PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR GAMMA (PPAR  $\gamma$ )  
GENE REGULATORY SEQUENCES AND USES THEREFOR

APPLICANT(S) FOR DO/EO/US

LIGAND PHARMACEUTICALS INCORPORATED, and INSTITUT PASTEUR

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Statement Under 37 C.F.R. 1.821(f), Sequence Listing, Computer Readable diskette, authorization to charge deposit account, and Return postcard, and Preliminary Amendment

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21 JAN 2000

Annex US.II, page 2

PCT Applicant's Guide - Volume II - National Chapter - US

U.S. APPLICATION NO. (if known, see 37 CFR 1.3) <b>09/463542</b>		INTERNATIONAL APPLICATION NO. PCT/US98/15411		ATTORNEY'S DOCKET NUMBER 234/231	
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<b>17. <input checked="" type="checkbox"/> The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... \$970.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b>  <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th colspan="2">FEE VALUE ACCOUNTABILITY</th> </tr> <tr> <th colspan="2">DEPOSIT ACCOUNT NO.</th> </tr> <tr> <td colspan="2" style="text-align: center;">12-2475</td> </tr> <tr> <th>FEE CODE</th> <th>VALUE FURNISHED</th> </tr> <tr> <td>956</td> <td>\$20.</td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </table>		FEE VALUE ACCOUNTABILITY		DEPOSIT ACCOUNT NO.		12-2475		FEE CODE	VALUE FURNISHED	956	\$20.																
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FEE CODE	VALUE FURNISHED																														
956	\$20.																														
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 670.00																											
				\$ 130.00																											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																												
Total claims	25 - 20 =	5	X \$18.00	\$ 90.00																											
Independent claims	8 - 3 =	5	X \$78.00	\$ 390.00																											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$ 0.00																											
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,280.00</b>																											
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00																											
<b>SUBTOTAL =</b>				<b>\$ 1,280.00</b>																											
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00																											
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,280.00</b>																											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00																											
<b>TOTAL FEES ENCLOSED =</b>				<b>\$</b>																											
				Amount to be: refunded \$																											
				charged \$1,280.00																											

  

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 12-2475 in the amount of \$ \$1,280.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-2475. A duplicate copy of this sheet is enclosed.

  

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

  

SEND ALL CORRESPONDENCE TO:

JESSICA R. WOLFF, ESQ.  
 LYON & LYON LLP  
 633 West Fifth Street, Suite 4600  
 Los Angeles, CA 90071-2066

*Jessica R. Wolff*  
 SIGNATURE:  
 Jessica R. Wolff

NAME  
 37,261

REGISTRATION NUMBER

00 JAN 18 00 AM 10 00  
 DOCUMENT PROCESSING BRANCH